

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT 3743

In re Patent Application of:

HANNIGAN, Raymond R. et al

Serial No.:09/937,937

Filed:

October 2, 2001

For: VACUUM ASSISTED CLOSURE SYSTEM WITH HEATING AND COOLING PROVISION

Examiner: LEWIS, Kim M.

Group Art Unit: 3743

Attorney Docket No.: VAC.482.US

CERTIFICATE OF MAILING 37 C.F.R. § 1.8

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\_\_18\_ February 2005

Date

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Susan Love

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir or Madam:

# UNDER 37 C.F.R. §1.97 Second Supplemental Statement

In compliance with the duty of disclosure set forth in 37 C.F.R. §1.56, Applicants are submitting herewith a Form PTO/SB/08A and a copy of the references listed thereon. This Information Disclosure Statement is being filed more than three months after the filing date of the application. This Information Disclosure Statement is being filed in accordance with 37 C.F.R. §1.97(c)(2). The Commissioner is authorized to charge the required fees to deposit account no. 500326.

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Applicants respectfully request full and proper consideration of the listed information during examination of the application, and that the listed information be printed on any patent that issues therefrom.

Respectfully submitted,

Robert W. Mason Reg. No. 42,848

Attorney for the Applicant

Telephone: (210) 255-6271 Facsimile: (210) 255-6969

Enclosures: Form PTO/SB/08A (6 pages)

Copies of non-US Patent references listed on Form PTO/SB/08A

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Transmittal & Transmittal Fee Form

180.00

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE he Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMR control number Complete if Known Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). 09/937,937 **Application Number** RANSMITTAL Filing Date October 2, 2001 For FY 2005 First Named Inventor HANNIGAN, et al LEWIS, Kim M. **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27 Art Unit 3743 TOTAL AMOUNT OF PAYMENT (\$) Attorney Docket No. VAC.482.US METHOD OF PAYMENT (check all that apply) Check Credit Card Money Order None Other (please identify): ✓ Deposit Account Deposit Account Number: <u>500326</u> Deposit Account Name: Kinetic Concepts, Inc. For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fee(s) Credit any overpayments under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES FILING FEES SEARCH FEES **EXAMINATION FEES** Small Entity **Small Entity Small Entity Application Type** Fee (\$) Fees Paid (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Utility 300 150 500 200 250 100 Design 200 100 100 130 50 65 Plant 200 100 300 150 160 80 Reissue 300 150 500 600 250 300 200 Provisional 100 0 0 2. EXCESS CLAIM FEES **Small Entity** Fee (\$) Fee Description Fee (\$) Each claim over 20 (including Reissues) 50 25 Each independent claim over 3 (including Reissues) 200 100

Multiple depende	ent claims	Ü	•	360	180
Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	<u>Multiple</u>	Dependent Claims
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HP = highest number of independent claims paid for, if greater than 3.

3 APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) = Fee Paid (\$) = -100 = \_\_\_\_\_ /50 = \_\_\_\_\_ (round up to a whole number) x \_\_\_\_ = \_\_\_\_ 4. OTHER FEE(S)

Other (e.g., late filing surcharge): Late surcharge fee for filing Supplemental IDS

Non-English Specification, \$130 fee (no small entity discount)

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Signature
Registration No. (Attorney/Agent)
Registration No. (Attorney/Agent)

Registration No. (Attorney/Agent)

Date 2 18 05

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SC103				PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031
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E	A	pplication Number	09/937,9	
TRANSMITTAL	Fi	iling Date	October	2, 2001
FORM	Fi	irst Named Inventor	HANNIG	GAN, et al
	Α	rt Unit	3743	
(to be used for all correspondence after initial filin	-	xaminer Name	LEWIS,	Kim M.
Total Number of Pages in This Submission	A	ttorney Docket Number	VAC.482	2.US
	ENCLO	SURES (Check all	that app	oly)
Fee Transmittal Form		wing(s)	<u> </u>	After Allowance Communication to TC  Appeal Communication to Board
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After Final		tion to Convert to a visional Application		Proprietary Information
Affidavits/declaration(s)	Pow	ver of Attorney, Revocation inge of Correspondence in		Status Letter
Extension of Time Request		Terminal Disclaimer		Other Enclosure(s) (please Identify below):
Express Abandonment Request	Req	Request for Refund		Information Disclosure Statement Under 37 CFR §1.97 with copies of foreign patents and
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Certified Copy of Priority Document(s)	Remarks			
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SIGNATU	JRE OF A	APPLICANT, ATTO	RNEY,	OR AGENT
Firm Name Kinetic Concepts, Inc.			2	
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Printed name Robert W. Mason				
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I hereby certify that this correspondence is being sufficient postage as first class mail in an enveloped the date shown below:	g facsimile ope addres	transmitted to the USPT	O or depo	osited with the United States Postal Service with P.O. Box 1450, Alexandria, VA 22313-1450 on

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Polit W Mason

Robert W. Mason

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Sheet 1

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of 6

Application Number	09/937,937
Filing Date	October 2, 2001
First Named Inventor	HANNIGAN, et al
Art Unit	3743
Examiner Name	LEWIS, Kim M.

Attorney Docket Number VAC.482.US

Complete if Known

	U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (ff known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
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		FORE	IGN PATENT DOCU	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	MM-DD-YYYY		Or Relevant Figures Appear	Τ°
		AU-550575-A1	08-26-1982	Wright	P.4, lines 7-10	
		EP-0100148	02- 1984	Naylor, et al	Abstract	
		WO 96/05873	02-29-1996	Lina	***	
		WO 97/18007	05-22-1997	Hunt		
		EP-0161865	11-21-1985	Ward		
		EP-0117632-A2	01-1984	JMcCracken		

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Examiner		 Date	
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		33	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet |2

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of 16

Coi	nplete if Known	
Application Number	09/937,937	
Filing Date	October 2, 2001	
First Named Inventor	HANNIGAN, et al	
Art Unit	3743	
Examiner Name	LEWIS, Kim M.	
Attorney Docket Number	VAC 482 US	

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Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Τ <sup>6</sup>
		PCT/GB98/02713	09-09-1998	KCI Medical Ltd		
		AU-745271	03-14-2002	KCI Medical Ltd		
		SG-71559	04-16-2002	KCI Medical Ltd		
		GB-2,329,127 B	08-16-2000	KCI Medical Ltd		
		GB-2,333,965 A	08-11-1999	KCI Medical Ltd		
		AU-755496	12-12-2002	KCI Medical Ltd		

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Sheet 3

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number	09/937,937	
Filing Date	October 2, 2001	
First Named Inventor	HANNIGAN, et al	
Art Unit	3743	
Examiner Name	LEWIS, Kim M.	
Attorney Docket Number	VAC 482 US	

			U. S. PATENT D	OCUMENTS	
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		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> ( <i>if known</i> )	MM-DD-YYYY		Or Relevant Figures Appear	Т
		GB-692,578	06-10-1953	Minnesota Min		
		WO 80/02182	10-16-1980	Moss, James		Г
		WO/94/20041	09-15-1994	WFU/Argenta, L.		
		DE-295 04 378 U1	09-14-1995	MTG		
		DE-43 06 478 A1	09-08-1994	Wagner, W.		Г
		CA-2005436	06-30-1990	Kalt, Glenda G.		******

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Sheet 4

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Cor	Complete if Known				
Application Number	09/937,937				
Filing Date	October 2, 2001				
First Named Inventor	HANNIGAN, et al				
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Attorney Docket Number	VAC 482 US				

			U. S. PATENT D	OCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	WO 93/09727	05-27-1993	Wake Forest Un.		
	GB-2 197 789 A	06-02-1988	Smith Industrie		Ĺ
	EP-0358 302	03-14-1990	Smith Industrie		L
	DE 26 40 413 A1	03 09 1978	Wolf, Richard		
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INFORMATION DISCLOSURE
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Sheet 5

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Complete if Known

Application Number 09/937,937

Filing Date October 2, 2001

First Named Inventor HANNIGAN, et al
Art Unit 3743

Examiner Name LEWIS, Kim M.

Attorney Docket Number VAC.482,US

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 5,437,622	08-01-1995	Carion, Jean-Pierre	
		<sup>US-</sup> 6,345,623	02-12-2002	Heaton, K., et al	
		<sup>US-</sup> 6,553,998	04-29-2003	Heaton, K., et al	
		<sup>US-</sup> 6,814,079	11-09-2004	Heaton, K., et al	
		<sup>US-</sup> 5,437,651	08-01-1995	Todd, R., et al	
·		<sup>US-</sup> 5,549,584	08-27-1996	Gross, James R.	
		<sup>US-</sup> 5,134,994	08-04-1992	Say, Sam L.	
		<sup>US-</sup> 4,710,165	12-01-1987	McNeil, C.B., et al	
		<sup>US-</sup> 3,520,300	07-14-1970	Flower, Guiles	
		<sup>US-</sup> 4,758,220	07-19-1988	Sundblom, L., et al	
		<sup>US-</sup> 5,279,550	01-18-1994	Habib, Magdi, et al	
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	_	Country Code <sup>3 -</sup> Number <sup>4 -</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	,	Or Relevant Figures Appear	
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, est				Application Number	09/937,937	
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STATEMENT BY APPLICANT		First Named Inventor	HANNIGAN, et al			
	(Use as many she	ets as n	ecessary)	Art Unit	3743	
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